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UNITED STATES DISTRICT COURT CENTRAL DISTRICT OF CALIFORNIA HONORABLE DAVID O. CARTER, JUDGE PRESIDING _ _ _ _ _ _ _ ECHOSTAR SATELLITE) CORPORATION, et al.,) Plaintiffs,)) No. SACV 03-0950-DOC vs.) NDS GROUP PLC, et al.,) Day 4, Volume IV) Defendants.)

> REPORTER'S TRANSCRIPT OF PROCEEDINGS Jury Trial Santa Ana, California Tuesday, April 15, 2008

Jane C.S. Rule, CSR 9316 Federal Official Court Reporter United States District Court 411 West 4th Street, Room 1-053 Santa Ana, California 92701 (714) 558-7755 08-04-15 EchoStarD4V4

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1	APPEARANCES OF COUNSEL:
2	
3	FOR PLAINTIFFS ECHOSTAR SATELLITE CORPORATION, et al.:
4	T. WADE WELCH & ASSOCIATES
	Attorneys at Law
5	BY: CHAD M. HAGAN
	T. WADE WELCH
6	DAVID M. NOLL
	CHRISTINE D. WILLETTS
7	Attorneys at Law
	2401 Fountainview
8	Suite 700
	Houston, Texas 77057
9	(713) 952-4334
10	FOR DEFENDANTS NDS GROUP PLC, et al.:
11	O'MELVENY & MYERS, LLP
	Attorneys at Law
12	BY: DARIN W. SNYDER
	NATHANIEL L. DILGER
13	DAVID R. EBERHART
	Attorneys at Law
14	Embarcadero Center West
	275 Battery Street
15	Suite 2600
	San Francisco, California 94111-3305
16	(415) 984-8700
17	– AND –
18	HOGAN & HARTSON, LLP
	Attorneys at Law
19	BY: RICHARD L. STONE
	KENNETH D. KLEIN
20	Attorneys at Law
	275 Battery Street
21	Suite 2600
	San Francisco, California 94111-3305
22	(415) 984-8700
23	ALSO PRESENT:
24	JEAN-MARIE FEY, French Interpreter
25	

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1	SANTA ANA, CALIFORNIA, TUESDAY, APRIL 15, 2008
2	DAY 4 - VOLUME IV
3	(2:40 p.m.)
4	(Live reporter switch with Debbie Gale.)
5	(The following proceedings is taken in the
6	presence of the jury.)
7	CHRISTOPHE NICOLAS, PLAINTIFFS' WITNESS (Continued.)
8	CROSS-EXAMINATION (Continued.)
9	BY MR. STONE:
10	Q I'm sorry, is your answer "yes" or "no"?
11	A Can you repeat the question?
12	Q Okay. The question was, isn't it true that if you had
13	immediately designed and deployed the software patch after
14	the black box in October of 2000, and before the internet
15	postings, the instructions posted on the internet could not
16	have allowed anyone to commit a buffer overflow attack on
17	the ROM 3 card?
18	A I'm sorry, I'm starting to get tired. Can you rephrase
19	that? I don't follow you anymore, or maybe you can help me
20	with the translation.
21	THE COURT: Just ask the question again.
22	MR. SNYDER: Okay.
23	BY MR. SNYDER:
24	Q Isn't it true that if you had immediately designed and
25	deployed the software patch after the black box in October

of 2000, and before the internet postings, the instructions posted on the internet could not have been used for a buffer overflow attack on the ROM 3 card.

4 That's not correct, no, because if -- if I follow you А 5 correctly, and maybe I'm not following you, the fact that we 6 design and deploy the patch will protect, to some extent, 7 all the cards that we received the patch, because when you 8 broadcast a signal, you need to have your TV on, your set-up 9 box on, and you'll receive that, but it will not protect any 10 card that was not in the set-top box at the time we 11 broadcast that. So it's still a few -- a few million, 12 maybe, that you will have to find in retail store, and so 13 on, so all those cards are not protected and the recipe can 14 be used there.

15 And then, also at that time, there was some hacker --16 the commercial group, the E3M group was putting software in 17 what we call "blocker" that would avoid any upgrade of the 18 card. So the answer is "yes," it's true for all the card to 19 have been used at that time and -- and in the normal 20 behavior of the system. The answer is "no" for all the card 21 that were not in the system or that were protected by a 22 blocker software in the card.

Q This patch update was in the system, wasn't it, after it was designed and deployed?

25 A Yeah, correct, yeah.

	Idge
1	Q So if somebody bought a card that was in a warehouse,
2	as soon as they activated their system, it would have been
3	updated with this patch; isn't that true?
4	A Yes, but what the hackers are doing there yeah, I
5	think they were not stupid, so it was published all over the
6	internet that as soon as you purchase a brand new set-top
7	box, you remove the card and send the card as soon as
8	possible to your dealer. It will fix it, it will put the
9	pirate things and send it back. I think it's pretty clear
10	for them because since since at that time, since two
11	years we are doing regular patches, it was pretty clear for
12	them as soon as they hooked up the set-top box and the dish,
13	they would receive a date. So the normal steps, you know,
14	to do a pirate card was to remove the card as soon as
15	possible and before hooking the set-top box to the TV and
16	putting the dish on.
17	Q And sir, isn't it true that any card used in the
18	receiver after a patch was issued would receive that patch
19	through the broadcast stream?
20	A Not any card. That's what I mean. So the card that's
21	been modified before, and while that blocker software has
22	been put into, will not receive the patch and will not
23	receive the fix then, and it can still be used and the
24	recipe can still be used against those card.
25	Q Well, then you had the second feature, though, to deal

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1 with that called the electronic countermeasure, didn't you, 2 sir? 3 We -- we had the countermeasure to deal with that, but А 4 that's the exact point. As soon as the December 2000 5 posting happened and the recipe was freely available to 6 everybody, it was not anymore one source that we need to 7 target with the electronic countermeasure, but tens and then 8 thousands of sources, okay? 9 So each time you want to do a countermeasure, and I 10 think it's important that you understand that, first you 11 need to identify your target, so you need to purchase one of 12 those card. Then you need to -- to extract the information 13 in the cards to know what you will identify as the pirate 14 card, and then design your countermeasure, put that in the 15 system and broadcast it. It's doable when you have one or 16 two source of piracy. As soon as you have thousands of 17 them, which one do you want to pick? So it was useless at 18 that time to have the countermeasure capability, because you 19 don't know where to shoot. You are in the dark, and you 20 don't know where to shoot and which is your target. Tt. 21 might be one guy publishing every day on the internet, but 22 it's just for the fun. And yes, only one pirate card, and 23 you will deploy extensive engineer and testing just to 24 target one card? I think it has no value. It's just 25 economically, it doesn't make sense.

1	So when you have one source of hacker, for sure you
2	focus on that one and try to do countermeasure and to to
З	stop that. When you have thousands of that, and that's what
4	happened after the publication, you don't know where to
5	shoot. So the countermeasure, yes, we have that capability,
6	but it's a useless capability at that time.
7	Q Didn't your countermeasure know exactly where to shoot?
8	Didn't it shoot anyone who tried to overflow the buffer and
9	mark the OTP section of the EEPROM so the card could be
10	killed later?
11	A That's one feature that we used, but unfortunately, not
12	all the set-top box were always stable and not so
13	communication problem at at the card level might be seen
14	for the card as an attack, because the communication will
15	not flow properly, and the card will say, "Okay, there is
16	something wrong and may be under attack," and you can
17	imagine that we cannot decide to kill every Smart Card in
18	the field that feel they are under attack because there is a
19	misbehavior on the set-top box because you have a plug
20	you have a polar issue or there is a strong ongoing so
21	yes, on inter you can identify that, you can put that in
22	the card, but in practice, you will never take the risk to
23	shoot all of your religious subscriber, the poor guy paying
24	because they don't try to hack into the system.
25	Q Sir, wasn't there an ECM issue that was designed to

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	iage
1	detect if somebody was sending more bytes to the
2	communication buffer than it could handle?
3	A Yeah, we did that, yes.
4	Q And the second step of that countermeasure was to mark
5	the EEPROM permanently with a mark that could then be
6	spotted by the next transmission and killed, right?
7	A Yes, that's correct. But to my understanding, it was
8	dissuasive means, and we never used that feature to kill the
9	card in the end. I think we were told it was too risky to
10	take that decision. If something if just one byt is flip
11	in the card, you just want to kill the card. You just
12	imagine the risk and the impact could stop business. It
13	cannot be taken.
14	So it was a single well-thought engineered design, but
15	business-wise, nobody will take the risk. There was no
16	insurance if something was going wrong there, you will
17	not just say, "Okay, sorry. We just tested the wrong byte
18	or maybe some bytes just flipped in the card, and we just
19	killed it. So sorry, Mr. Ergen, you are out of business."
20	Q And who made that decision? Was it Mr. Ergen?
21	A On what, on not to use that?
22	Q Yes.
23	A No. I think I think most of the times the people
24	involved there were the senior management of EchoStar, but
25	not Charlie directly.

1	Q Could the electronic countermeasure detect the fact
2	that a card had not taken the software patch?
3	A So we have we have means to try to check what is in
4	the card, so we have means to do what we call, and sorry to
5	be technical again, checksum on part of the memory to say,
6	"Okay, that part of that memory looks okay." So that means
7	it might be located by way of the patch there. To do an
8	entire check of the memory takes times, in time of
9	computation times.
10	THE COURT: We are going to take a recess at this
11	time, Counsel.
12	MR. STONE: Thank you, your Honor.
13	THE COURT: Ladies and gentlemen, why don't you
14	take a recess. You are admonished not to discuss this
15	matter amongst yourselves, nor form or express any opinion
16	concerning this case. Thank you very much.
17	Thank you very much, sir. If you will please step
18	down and return at 10 minutes after the hour.
19	(The following proceedings is taken outside
20	the presence of the jury.)
21	THE COURT: Counsel, let me hold all of you for
22	just a moment, and the gentleman who are counsel in the
23	office or in the audience representing some of the
24	respective parties.
25	Why don't you have a seat, and thank you for your

1 courtesy. I am still shocked when people stand in my court 2 after being seated for so long on criminal matters, but 3 thank you.

Along the way I've tried to indicate to counsel for both parties some areas of concern that may place the parties in a difficult position at the time of argument, and I think that this is at the beginning of this gentleman's testimony, and I foresee many other witnesses who are going to testify for EchoStar, and later for NDS, the same problem may present itself.

11 Counsel, why don't you have a seat. Thank you. 12 This Court has repeatedly stated this Court's 13 concern about the respective parties withholding potentially material information, and I've left that statement in a 14 15 rather neutral position over the last three years. This 16 Court has repeatedly praised the laboring attorneys in this 17 court, but also, frankly, applied as much pressure as 18 possible concerning discovery requests and the full 19 compliance with these orders.

Now, this Court humbly recognizes that I have limited jurisdiction limited to 150 miles, but I've also repeatedly warned the parties that the best evidence is to be produced in front of this jury. International companies, their management, their ownership may perceive that either distance or lack of jurisdiction make a court impotent.

1 I've repeatedly said to counsel that I would not 2 allow, at least the justice system I believe in so strongly, 3 to be manipulated, and there's been a course that I 4 repeatedly say and continue to say to you on this day of 5 literally pirates, witnesses and our corporate decisions, 6 bluntly, leading to depositions being taken by hard-working 7 attorneys for both sides, and then the choice through 8 whatever tactical or managerial decisions, whether it's 9 attorneys or ownership, deciding that this case initially 10 was going to be postured so that the plaintiff and defendant 11 would literally have the access to many of these alleged 12 pirates and literally the power to bring these persons to 13 court but are not doing so. We need to recall all of those 14 discussions. This is a repeated mantra, and it will lead to 15 some of the discovery disputes that are now forthcoming 16 before this Court.

17 I've stated to counsel, both on the record and in 18 some of the Saturday sessions, that much of the evidence 19 that each you rely upon come from an alleged pirate 20 community across the world that, quite frankly, the Court 21 doesn't have jurisdiction through -- or of, except through 22 your willingness to bring people to court so that the jury 23 has a full and fair proceeding, and that each of you have 24 the opportunity to cross-examine. And I've repeatedly said 25 to you that I was concerned with a witness giving consent to

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have a deposition two years ago in another jurisdiction and choosing to answer the questions that they chose to answer, and you were placed in the untenable position as esteemed counsel of presenting a case were the pirates were really making a choice or witnesses or corporations were making a choice who to present.

7 You recall that I've divided out most of the 8 witnesses in this case trying to labor and find which of 9 them has received past compensation, present compensation or 10 have a nexus to your various companies, and that was simply 11 in an effort, frankly, to apply pressure to you to send 12 messages to the multinational corporations that a jury needs 13 to have available witnesses subject to cross-examination 14 with the most recent discovery possible. And I think we've 15 all informally agreed and formally agreed and statements 16 have been made on the record that we probably have 17 discovered and had more discovery literally in the last few 18 weeks to a month than we had in the last three years, you 19 know, of broad and far-reaching discovery requests that 20 don't seem to have complied with until recently, or some of 21 them, anyway.

So I state to you now, as I've repeatedly stated, that this Court will not allow, at least in this American system of jurisprudence, these issues to be decided by snippets of depositions or by testimony that the pirate community or corporate structure, and I don't include counsel, but just in case, tactical decisions made by the attorneys. This is old hat; we've talked about this numerous times.

5 Now, these depositions have reeked of various 6 witnesses outside of the Court's jurisdiction failing to 7 answer questions, choosing not to and, frankly, just 8 ignoring some of the questions, and it set this Court on 9 alert early on in the proceedings. Some of the witnesses 10 were literally available to both the plaintiff and the 11 defendant, and so this is a broad statement finding fault 12 with neither party at this point, but you'll see the warning 13 I'm about to send.

14 And then after literally repeated and threatened 15 adverse inferences have you been successful as counsel with 16 the Court's full compliment, frankly, to the trial attorneys 17 on both sides, I think have been able to persuade whoever 18 those entities are to bring these witnesses to court, and 19 it's about as fair, finally, as we can have a proceeding, I 20 think, with where we started. I think we counted literally 21 14 to 16 people who were not going to testify who now are 22 going to testify. I believe the message was certainly 23 received by each of you as counsel, and I think eventually 24 by the ownership and management.

25

I've counseled the parties that putting a face

also on corporate entities may be critical, and so you've been forewarned when Mr. Ergen testified and, in fact, was literally requesting his testimony at the last moment when I used my discretion. And apparently, Mr. Kudelski, or Henri, will be present, not Andre. The Court has no intention, of course, of forcing Andre Kudelski to come to court.

7 But I've also counseled you that the arguments 8 regarding knowledge of satellite piracy and the conduct that 9 this Court is hearing could be perceived by a jury or other 10 entities to be so egregious that privacy of this type can 11 only be condoned, sanctioned or blinked at at the very 12 highest levels of corporate management and/or corporations. 13 And I think just recently I placed both of you on notice 14 that when it comes to argument, whether Mr. Peled testifies 15 or not, I've simply counseled you in terms of fairness and 16 counseled the Kudelskis through their counsel in terms of 17 fairness, and I believe Mr. Murdoch in terms of fairness, 18 that if you choose to produce those people, so be it, but if 19 you choose not to, though, you enter at your own risk, 20 because jurors are entitled to see when these types of 21 allegations of satellite piracy to this alleged extent occur 22 and/or the stealing of NDS's documents in some nondescript 23 airport near the Arctic Circle in Canada someplace in the 24 middle of the night that each of the corporate heads or 25 owners are able to look at the jury in the eyes, as

Mr. Ergen did, and state, "I didn't do it. I didn't know about it. It never came to my attention." So therefore, everybody is on your own risk.

4 I know you're making up your mind about 5 Mr. Murdoch, Mr. Peled and one of the Kudelskis. You are on 6 fair notice about that because the Court intends to have 7 far-ranging arguments, and I am not going to bring either 8 party in. So therefore, once again, I'm placing you on 9 notice about who appears and whether you choose to put a 10 corporate face lies totally with your responsibility, and 11 the Court will not countenance or hear at the last moment, 12 "Judge, this is unfair." You've been placed on notice at 13 least three times, at least on the record, and on more times 14 imaginable on our Saturday sessions.

Their absence may be detrimental to your respective arguments, and I'll leave it at that.

I want to turn to the real reason for my discussion with you at this juncture, and that is -- finding no fault with the last witness, nor with any future witnesses -- the lack of production of material evidence that each of you have been chagrined about as counsel and have made accusations about the other party over the last three years. I think it's been almost equal.

I think, Mr. Hagan, you've been affronted and
 concerned on behalf of EchoStar, as Mr. Snyder has been

1 affronted and concerned on behalf of the NDS Group, and 2 you've come into my court ably at 7:00 in the morning, 7:30, 3 after hours, I've seen a lot of you in the last couple of 4 years, and you've repeatedly asked the Court not to put this 5 case on the fast track because you needed time. These 6 omissions or the perceived lack of compliance concerning 7 material evidence that's been requested by the parties over 8 the last years may now have tragic consequences at trial.

9 This Court may be giving a neutral, a nonspecific 10 instruction regarding material evidence within the control 11 of a party. It would not be pinpointed, and the offending 12 party would not be left with a specified or a specific 13 instruction. My belief is that at the end of the case, this 14 may turn out to be coequal with the discovery disputes that 15 the two of you had in the past, but I'm going to use one 16 example that just occurred, and then leave it to NDS in the 17 future or EchoStar at present.

In taking example 816, if you have that document in front of you, counsel may be allowed -- I am not saying you will be yet -- to argue that the attempt to show NagraStar hacking of DirecTV or the reverse engineering was common, which was your attempt on cross-examination, Mr. Stone, of the present witness.

And in the e-mail, there was supposedly a zip drive or attachment, if you turn to that, that was not produced that you believe that Mr. Nicolas may have in his position, and my memory is that you asked him about that, and he basically said, "I don't know," or "I haven't checked."

5 Now, whatever corporate entity, whether it's your 6 entity as NDS or EchoStar, choose to present as a witness, 7 so be it, but so far I've gotten a rather low level, and I 8 don't mean that disparagingly, but people who are coming in 9 who, of course, have climbed the corporate chain of 10 leadership and responsibility, whoever gave allegedly some 11 of these orders, if they were given, will get lost in the 12 minutia of the corporation. I'm wise enough to know that.

So where does the responsibility lie if these accusations that each of you are leveling at each other? This potential instruction will be discussed later with counsel, but it is only fair to give the parties a chance to go back and search their files and place themselves in the most righteous and compliant position in front of this jury.

Three or four weeks from now, I will not countenance an argument that there is some unfairness in arguing for either party those material pieces of evidence that the party has in their control or should have in their control, so you go forward with this fair warning. Lack of resources will not be an excuse. The unwillingness to search or just the flippancy of "I didn't look in the file" will not be an excuse when the best source often exists, but a thorough search hasn't been undertaken. Inconvenience with the flying across multinational boundaries will not be an excuse.

6 So I find no difficulty with Mr. Nicolas' 7 testimony, but I believe this is simply the beginning of a 8 long litany for both sides, and therefore, I apologize to 9 EchoStar if it seems targeted towards Mr. Nicolas, it's not. 10 Your frustration has been equal to NDS's frustration and 11 EchoStar's frustration; they've matched. I have no way of 12 knowing in three or four weeks of how that plays out, but by 13 placing you on fair warning, I give you a chance to go back 14 and search your file, have Mr. Nicolas back within 72 hours 15 or by next Monday or Tuesday.

16 I give NDS fair warning to search their files, but 17 I promise you the instruction that I draft will be neutral 18 in that regard and not pinpointed, but a jury listening to 19 this evidence I think is well going to understand when a 20 question is asked why an e-mail is produced but the 21 attachment is not available, and an answer is forthcoming of 22 "I just didn't check," or that nobody looked in the file for 23 EchoStar or, later on, NDS, how devastating that will be. 24

Now, I've decided to talk to you about this now because unless given forewarning, I don't want you to be

1 caught by surprise with such a broad neutral but potentially 2 devastating instruction in three to four weeks, so you are 3 all on notice. I am going to give you 10 minutes for a 4 recess. We will promptly resume at 3:15. 5 (Recess.) 6 (The following proceedings is taken in the 7 presence of the jury.) 8 THE COURT: All right. The jury is present, all 9 counsel are present, and the witness is present. 10 And Counsel, your next question. 11 Mr. Nicolas? 12 Thank you, sir. 13 MR. STONE: Thank you, your Honor. I'd like to 14 approach with these two demonstratives. THE COURT: Counsel, you may. 15 16 CHRISTOPHE NICOLAS, PLAINTIFFS' WITNESS, RESUMED. 17 CROSS-EXAMINATION (Continued.) 18 BY MR. STONE: 19 The first one has a number A040, and it's basically the \cap 20 buffer memory. And all I want to do, Mr. Nicolas, is just 21 give the folks on the jury just a sense of what we were 22 talking about when we say "buffer overflow." And if I 23 understand it correctly, you've got a buffer of a certain 24 size, and this is 64 bytes, which is actually hexadecimal 25 bytes. I think the decimal is a hundred bytes with the

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1	ROM 3 card; does that sound about right?
2	A I think it's more that 64 bytes, so maybe the 100 is
3	right. I think we need to check that when I
4	Q Depending on whether it's hex or decimals
5	A Yeah.
6	Q Well, it won't matter for purposes of my demonstration,
7	but as bytes come in, it goes down through the buffer,
8	right? And when you get to 64, that's the limit of the
9	buffer. But if you put more in, it will wrap around because
10	of the memory aliasing effect, and then it comes back up to
11	RAM, correct
12	A That's correct.
13	Q in essence?
14	So to stop the overflow, what you want to do is put
15	some code that says, "Hey, when you get an incoming message
16	that's 64 bytes or less, okay; if it's more than 64 bytes,
17	I'm going to stop listening"?
18	A That's correct.
19	Q Okay. So all the code does is count the number of
20	bytes coming in to the buffer and cuts it off if it's beyond
21	the size?
22	A That's correct.
23	Q All right. The next demonstrative is 1586. It's
24	actually an exhibit. It's the original code from the ROM 3.
25	And your Honor, for the record, I'm just going to write

1 in blue marker on Exhibit 1586. 2 THE COURT: No demonstrative will be going into 3 evidence. You may be able to use them in argument, but no 4 demonstratives are going in for evidence. 5 MR. STONE: For record purposes, it's 6 been designated as 1586. 7 THE COURT: Thank you. 8 BY MR. STONE: 9 Mr. Nicolas, I don't know if you can see it. 0 10 I have a copy of it in front. That's fine. А 11 And these would be the comments to the ROM code for the 0 12 IO buff, or the communications buffer; is that correct? 13 Α That's correct, yes, sir. 14 0 And these are the comments that Mr. Osen wrote? 15 Α Yeah, that was the comment in the file, so most 16 probably Mr. Osen. I cannot make sure that it's him, but 17 most probably. 18 And what we've done is blurred the code itself, but Q 19 these are the comments that correlate to the code. 20 And that's his comment that says, "Note, that one 21 should better check the value of APDU index to make sure it 22 does not go beyond the end of IO buff." The APDUX -- excuse 23 me, the APDU index is the index variable, correct? 24 I think it's another index. The index variable will А 25 finger-point to the byte of where you need to write. The

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1	APDU index is the length of the message that you need to
2	store in the buffer.
3	Q And so you can check that value if it's more than the
4	64 or less than the 64 as we showed
5	A That's correct.
6	Q All right. The next demonstrative is the patch code.
7	It's actually just the comments to the patch code.
8	And for the record, your Honor, I'll just write 1587 on
9	the demonstrative.
10	And can you read what Mr. Osen wrote there?
11	A Yes. That was part of the patch code, not all of the
12	patch code. I think it's important to understand, and
13	that's the part which will deal with the execution if that
14	APDU LEN or lens in the code is greater than the buffer
15	size.
16	Q And I know we can't see the code, but am I correct that
17	the author of the code is doing two separate and different
18	checks for buffer overflow?
19	A If I recall let me read the comment again.
20	I think on that part of the software it's not where you
21	check the length. I think the lengths has already been
22	checked before, that part of the code. And here, what you
23	do is you do a clean up of the variable use, so you clear
24	the LEN and you clear the APDU, and that's the first
25	comment, so you would erase that information, and then you

	iage 2
1	would set one byt in the OTP, as you mentioned that we have
2	
	depicted an attempt to write onto the card. So that part of
3	the code has nothing to do with the check itself. It's more
4	of the steps followed after the the overflow has been
5	detected.
6	Q Yeah, I didn't I didn't make a demonstrative of the
7	test, but there was a test that dealt with the APDU index
8	and a test that dealt with the buffer, LEN, correct?
9	A Yeah, maybe. I don't recall the
10	Q But there were two checks to make sure that the message
11	coming in was the correct size, right?
12	A That's what I don't remember, so if you can show me
13	that, I can tell you. Without showing me that, it's
14	difficult.
15	Q Well, you can see that there are two remedial measures
16	here that clears LEN, that is one remedial measure
17	A No. I think that's the two variable that was used, the
18	length of the message and the APDU index, and those two are
19	clear there because it's the detection has already been
20	done, and you just clean up the the the the
21	parmitter (phonetic) used there, and you just flag the flag
22	that you have detected something wrong.
23	Q When you say "cleared," do you mean that if it was more
24	than the 64 bytes, it would stop receiving the communication
25	and then clear it?

1	A Again, if you reach that part of the software, you have
2	already detected the fact that you are you have an
3	attempt to use that buffer for overflow. It's not the part
4	of the software which is used to detect that buffer
5	overflow.
6	Q No, I understand. There is a detection mechanism, and
7	once it's detected, it actually clears these variables so
8	that nothing bad, no hanky-panky can occur, right?
9	A Yeah. The fact is that you clean up that to make sure
10	that we will not start to receive more byte from the
11	outside. Until you have the APDU index, which has reached
12	zero, you are still thinking that you will receive more
13	byte, so by clearing them by forcing them to zero, you
14	make sure that you stop the reception of the byte in the
15	Q I'm sorry. Go ahead.
16	A in the card.
17	Q And then there is no way to overflow the buffer with
18	this check and these clearing of the LEN and APDU index,
19	correct?
20	A Can you repeat that?
21	Q There is no way to overflow the buffer once this
22	process has been followed, correct?
23	A Again, it's not that process that prevent an attempt to
24	overflow the buffer. It's another part of the code.
25	Q And this makes sure that it doesn't happen, correct?

1 Not really. Again, it's another part of the software Α 2 that do that. 3 Maybe I can be clearer. \bigcirc 4 What is it about clearing LEN and APDU index ensures 5 there is no hanky-panky? 6 Again, if I recall correctly, after the check, we just А 7 want to make sure that we don't keep any trace of a 8 parmitter which stall a value which exceeds the size of the 9 buffer. We will suffer different types of attack 10 afterwards, and if you can glitch or disable the check, the 11 previous check, you don't want to have the card continue 12 executing the reception of the byte there, so we detect 13 those things. We remove all the wrong information in the 14 card that may be misinterpreted by the code, and you mark in 15 the OTP the fact that you have seen something wrong 16 happening, and then you stop the card. 17 And did this change indeed make the card more robust? Q 18 So those change for sure alter the -- the -- the usage Α 19 of the recipe as it was designed there until -- for some 20 times, and then unfortunately they find all the flavor or 21 other mean to attack against the ROM 3 card. 22 Q When you say "other mean," do you mean glitching? 23 Α Yeah, glitching is one, and as soon as -- just to take 24 a few seconds to explain that. By "glitching" we mean an 25 electrical glitch done on the card, and that glitch, which

1 is mainly hackers playing with the polar line on the card, 2 will avoid the normal execution in the card of the software. 3 So let's say you have a little check, and you glitch the 4 recent time that the CPU needs to execute those code, you 5 make a glitch, and the code is seen as a no -- a no 6 operation, so the -- the -- the check itself is not done, 7 and then you are back in business as a hacker, because the 8 test that you have added to prevent the usage of the recipe 9 is gone, and that was really what happened after a few 10 times.

11 So we did the patch, and it was successful for some 12 times, and as soon as they were mastering the glitch effect, 13 by knowing the exact piece of information they need to 14 glitch, by knowing the exact exit patch, the patch that we 15 were using to do that by just glitching at the right time at 16 the right instruction, the card was full open again. 17 Now, sir, the ROM 3 card came with security registers Q 18 that could detect attempts to glitch, correct? 19 I think the card came with very basic, unfortunately, Α 20 ways to detect attempt of the glitch, which was definitely 21 not strong enough for that type of attack. 22 Are you aware that that security register was not even Q 23 used in the ROM 3 card? 24 Because I think that one has been -- we tried, if I Α 25 recall correctly, to use them, to use it, but in the normal

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1	set-top box, it was detecting almost glitching every
2	every time, because the set-top box communication was so bad
3	in terms of perturbation, electrical perturbation, the card
4	was thinking it was under attack, so we cannot afford to
5	to have a card that
6	Q Sir, my question was simply, isn't it true that the
7	security register to detect glitching was not set in the ROM
8	3 code?
9	A Yeah, that's my point. We tried, and then we said it
10	was not usable, so we didn't use it.
11	Q Okay. I'd like to direct your attention to
12	Exhibit 1184, please.
13	A Is that the new one?
14	Q It's the new one, I'm sorry.
15	A Thank you.
16	Q Do you recognize Exhibit 1184, an e-mail exchange
17	including yourself and Andre Kudelski and Mr. Guggenheim?
18	A Yes, I do.
19	MR. STONE: Your Honor, I would move 1184.
20	THE COURT: Any objection?
21	MR. HAGAN: No objection, your Honor.
22	THE COURT: Received.
23	(Defense Exhibit No. 1184 is received in
24	evidence.)
25	

1	BY MR. STONE:
2	Q Okay. Looking at the top, there is a question from
3	Mr. Guggenheim to Henri, and that's Henri Kudelski?
4	A I don't have either of the first e-mail, but there is
5	Henri mentioned in the other one, so I can assume that it's
6	Henri, yes.
7	Q Did you say or testify earlier that Mr. Kudelski worked
8	with you?
9	A Yeah, that's correct.
10	Q And did he work on the patch and the electronic
11	countermeasure for the ROM 3 code?
12	A Henri was not directly working on the design there. He
13	was more working sorry, not on the design, on the
14	development. He was working on the design of the
15	countermeasure in general terms.
16	Q Okay. The question to Henri from Alan Guggenheim was,
17	"Thanks, but can we say categorically that the method
18	described does not work anymore, and that the patch blocks
19	all attacks of that type?" And then down below there is
20	Mr. Kudelski's e-mail that included a copy to you that says,
21	"Hi Alan, the last post gives you the answer," and it goes
22	on to quote a post that's an attachment. It says, "I
23	haven't analyzed the February update yet, but if it does
24	check, to make sure the packet size is under 64 byte, then
25	there is no way to send the packet to wrap around and

	raye .
1	overwrite the stack." Do you see that?
2	A Yeah. I'm a bit confused the way you interpret that,
3	because normally in a chain of e-mail, the answer you
4	have the question, and then the answer come on top and not
5	below that.
6	Q Was Mr. Guggenheim the customer for the cards that
7	received the patch?
8	A What do you mean by "the customer"?
9	Q Well, the cards were issued to NagraStar, correct?
10	A The card was issued to NagraStar, that's correct, yes.
11	Q And Mr. Guggenheim was the CEO of NagraStar, correct?
12	A That's correct.
13	Q So he's the customer, correct?
14	A Yeah, he's the the CEO of the company that we sell
15	the card, from NagraCard to NagraStar, that's correct, yes.
16	Q And Mr. Guggenheim, the CEO, your customer, never said
17	anything to you to indicate the patch did not prevent all
18	buffer overflow attacks; isn't that true?
19	A Can you see that again?
20	Q Sure. Mr. Guggenheim, the CEO, the customer, never
21	told you anything to indicate that he didn't believe the
22	patch prevented all buffer overflow attacks.
23	(Witness consulting with Interpreter.)
24	THE WITNESS: We did talk about the effect of the
25	patch with Mr. Guggenheim, if I understand correctly your

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1	answer. Is there a statement from Mr. Guggenheim that there
2	is other way to do the attack? I don't recall that specific
3	statement.
4	BY MR. STONE:
5	
6	
7	Do you recognize Exhibit 830?
	A It's an e-mail from Joel Conus to a lot of people along
8	with myself.
9	MR. STONE: At this time I would move Exhibit 830?
10	THE COURT: Any objection?
11	MR. HAGAN: No objection, your Honor.
12	THE COURT: Received.
13	(Defense Exhibit No. 830 is received in
14	evidence.)
15	BY MR. STONE:
16	Q And the date of this is February 23rd, 2001, correct?
17	A Correct, yes.
18	Q And one of Mr. Conus' responsibilities was to monitor
19	the effectiveness of patches or electronic countermeasures,
20	correct?
21	A That's correct, yes.
22	Q And it looks like this e-mail was also sent to
23	Mr. Guggenheim who, as we've heard, is the CEO of the
24	customer NagraStar, right?
25	A Yes.

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1	Q And on the first page underneath the heading "Device"
2	or "Devices Status"; do you see that?
3	A Yes, I see that.
4	Q And the reference to DNASP 003 would be the ROM 3 card,
5	correct?
6	A That's correct, yes.
7	Q And the status that Mr. Conus listed was secured,
8	correct?
9	A I think we have already debated that during the
10	deposition. I think the status that he gave is secure but
11	also VIP write in some card and card in in blocker not
12	affected by by the update. So I think we we have
13	described already before that after the the the
14	broadcast of the patch, Smart Card that were in the set-top
15	box may have received the the the patch, and then we
16	would be secured against that flavor of the recipe. But
17	some of the card would have already VIP write or 3M write in
18	the card, and those write will remain in the card, so those
19	are not secured. And the third type of card is the one that
20	has a blocker software that will avoid the reception of the
21	recipe in the card, and those card would not be secured. So
22	it's a three-step answer to your question, not only the
23	first part.
24	Q What is the difference between "compromised" and
25	"secured"?

1	A I think compromised, we have approved that something
2	has happened on the card, and that some people might take
3	advantage of that compromise. And "secured" means that we
4	are just in the process to try to resecure the card by doing
5	a patch, and you will see in those report for a given ROM,
6	the status is moving from uncompromised, then compromised
7	and then secured or resecured, going back to compromised
8	each time the hacker starts to attack our card.
9	Q Well, did you ever report to your customer for the
10	ROM 3 that it was no longer secured or that the hole was no
11	longer closed?
12	A Yeah, probably we were hoping that the patch was
13	will be successful to keep the the card secure,
14	definitely, yes.
15	Q So it's your recollection that Mr. Conus sent some kind
16	of e-mail at some point after 2001 that listed the ROM 3
17	status as something other than secured or hole closed?
18	A I think that's that the time he's using, so
19	according to the date, we were just after the broadcast of
20	the patch, so hopefully just after that, we can say that the
21	card that received the patch are in a secure state.
22	(Live reporter switch with Sharon Seffens.)
23	-000-
24	
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2	
	CERTIFICATE
3	
4	I hereby certify that pursuant to Section 753,
5	Title 28, United States Code, the foregoing is a true and
6	correct transcript of the stenographically reported
7	proceedings held in the above-entitled matter and that the
8	transcript page format is in conformance with the
9	regulations of the Judicial Conference of the United States.
10	
11	Date: April 16, 2008
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	JANE C.S. RULE, U.S. COURT REPORTER
15	CSR NO. 9316
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